



SECTOR 1#  
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BY: Martha O. Helant

DATE: February 23, 1999

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re: Patent Application of :  
Brian J. Balin *et al.* : Attention:  
: **Box Missing Parts**  
Appln. No.: **09/227,749** :  
: :  
Filed: January 8, 1999 :  
: :  
For: TREATMENT AND : Attorney Docket  
DIAGNOSIS OF ALZHEIMER'S DISEASE: No. 10062-1

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

In response to the Notice to File Missing Parts of Application - Filing Date Granted, mailed February 1, 1999, submitted herewith is an executed Declaration and Power of Attorney for the above-identified application.

Also enclosed is a copy of the Notice to File Missing Parts of Application - Filing Date Granted and a signed Verified Statement (Declaration) Claiming Small Entity Status.

The filing fee, which was not authorized or paid at the time of filing of this patent application, has been calculated as shown below:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$380.			BASIC FEE: \$760	
Total	30-20 =	10	X9	\$ 90.00	OR	X18	\$
Independent	12-3 =	9	X39	\$351.00	OR	X78	\$
Multiple Dependent Claims Present			\$130	\$	OR	\$260	\$
			TOTAL	\$821.00	OR	TOTAL	\$

The Commissioner is hereby authorized to charge payment of the following fees, any additional fees or credit any overpayment to Deposit Account No. 16-0235. One additional copy of this sheet is enclosed.

- ☒ The above calculated filing fee **821.00**.
- ☒ The surcharge of **\$65** for the late filing of the Declaration and Power of Attorney.
- ☒ Any additional fees required under 37 C.F.R. §1.16 or §1.17.
- ☒ If the filing of any paper during the prosecution of this application requires an extension of time in order for the paper to be timely filed, applicant(s) hereby petition(s) for the appropriate extension of time pursuant to 37 C.F.R. §1.136(a).

Respectfully submitted,

**Brian J. Balin et al.**

FEBRUARY 13, 1999 By: Kathryn Doyle  
(Date) **KATHRYN DOYLE, Ph.D., J.D.**  
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KDL/moh  
Enclosures



#3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/227,749 01/00/99 BALIN

B 10062-1

0242/0201

PANITCH SCHWARZE JACOB & NADEL  
ONE COMMERCE SQUARE  
2005 MARKET STREET  
22ND FLOOR  
PHILADELPHIA PA 19103-7086

NOT ASSIGNED

1623

DATE MAILED:

02/01/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1772.00.

- ☒ 1. The statutory basic filing fee is:
- ☐ missing.
  - ☐ insufficient.
- Applicant must submit \$ 160.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ 872.00, including any multiple dependent claim fees, are required.
- \$ 102.00 for 9 independent claims over 3.
- \$ 130.00 for 10 dependent claims over 20.
- \$ \_\_\_\_\_ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the submitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

03/08/1999 TL0011 00000497 160235 0922649  
01 FC:201 380.00 CH  
02 FC:203 90.00 CH  
03 FC:202 351.00 CH  
04 FC:205 65.00 CH